

Agenda

Meeting: Audit Committee

**Venue: Grand Meeting Room, County Hall,
Northallerton**

**Date: Thursday 24 September 2015 at
1.30pm**

**Note: There is no informal Seminar for
Audit Committee Members on 24
September 2015.**

Recording is allowed at County Council, committee and sub-committee meetings which are open to the public. Please give due regard to the Council's protocol on audio/visual recording and photography at public meetings, a copy of which is available to download below. Anyone wishing to record is asked to contact, prior to the start of the meeting, the Officer whose details are at the foot of the first page of the Agenda. We ask that any recording is clearly visible to anyone at the meeting and that it is non-disruptive. <http://democracy.northyorks.gov.uk>

Business

1. **Minutes of the meeting held on 16 July 2015.**

(Pages 1 to 5)

2. **Public Questions or Statements.**

Members of the public may ask questions or make statements at this meeting if they have given notice to Ruth Gladstone of Democratic Services (*contact details below*) by midday on Monday 21 September 2015. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes);
- when the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chairman who will instruct those taking a recording to cease while you speak.

3. **Progress on Issues Raised by the Committee** – Joint report of the Corporate Director – Strategic Resources and the Assistant Chief Executive (Legal and Democratic Services).
(Pages 6 to 8)
4. **Report to Members on the 2014/15 Audit by the External Auditor:-**
 - (a) **North Yorkshire Pension Fund** - The report of the External Auditor.
(Report not yet available)
 - (b) **North Yorkshire County Council** - The report of the External Auditor.
(Pages 9 to 38)
5. **North Yorkshire Pension Fund Annual Report 2014/15** – Report of the Corporate Director – Strategic Resources and Treasurer to the Pension Fund.
(Pages 39 to 143)
6. **Review of Statement of Final Accounts (incorporating Annual Governance Statement)** - Report of Audit Committee Members' Working Group.
(Pages 144 to 147)
7. **Statement of Final Accounts for 2014/15 including Letter of Representation** - Report of the Corporate Director – Strategic Resources.
(Pages 148 to 159)
(Statement of Final Accounts booklet collated separately)
8. **Annual Report of the Audit Committee** - Report of the Chairman of the Audit Committee.
(Pages 160 to 167)
9. **Internal Audit Work and Related Internal Control Matters for the Health and Adult Services Directorate**
 - (a) Report of the Head of Internal Audit.
(Pages 168 to 175)
 - (b) Report of the Corporate Director – Health and Adult Services.
(Pages 176 to 206)
10. **Internal Audit Report on Information Technology, Corporate Themes and Contracts** - Report of the Head of Internal Audit.
(Pages 207 to 221)

11. **Review of Assurance over Value for Money** – Report of the Corporate Director – Strategic Resources.
(Pages 222 to 227)
12. **Review of Finance, Property and Contract Procedure Rules** - Report of the Corporate Director – Strategic Resources.
(Pages 228 to 230)
13. **Programme of Work** – Report of the Corporate Director – Strategic Resources.
(Page 231)
14. **Other business which the Chairman agrees should be considered as a matter of urgency because of special circumstances.**

Barry Khan
Assistant Chief Executive (Legal and Democratic Services)

County Hall
Northallerton

16 September 2015

Notes:

- (a) Members are reminded of the need to consider whether they have any interests to declare on any of the items on this agenda and, if so, of the need to explain the reason(s) why they have any interest when making a declaration.

The relevant Democratic Support Officer or Monitoring Officer will be pleased to advise on interest issues. Ideally their views should be sought as soon as possible and preferably prior to the day of the meeting, so that time is available to explore adequately any issues that might arise.

- (b) **Emergency Procedures for Meetings**

Fire

The fire evacuation alarm is a continuous Klaxon. On hearing this you should leave the building by the nearest safe fire exit. From the **Grand Meeting Room** this is the main entrance stairway. If the main stairway is unsafe use either of the staircases at the end of the corridor. Once outside the building please proceed to the fire assembly point outside the main entrance

Persons should not re-enter the building until authorised to do so by the Fire and Rescue Service or the Emergency Co-ordinator.

An intermittent alarm indicates an emergency in nearby building. It is not necessary to evacuate the building but you should be ready for instructions from the Fire Warden.

Accident or Illness

First Aid treatment can be obtained by telephoning Extension 7575.

AUDIT COMMITTEE

1. Membership

County Councillors (8)							
	<i>Councillors Names</i>				<i>Political Party</i>		
1	ATKINSON, Margaret (Vice Chairman)				Conservative		
2	BAKER, Robert				Conservative		
3	BROADBENT, Eric				Labour		
4	CLARK, Jim				Conservative		
5	FORT, John BEM				Conservative		
6	GRANT, Helen				NY Independent		
7	HOULT, Bill				Liberal Democrat		
8	JORDAN, Mike (Chairman)				Conservative		
Members other than County Councillors (3)							
1	PORTLOCK, David						
2	MARSH, David						
3	Vacancy						
Total Membership – (11)				Quorum – (3) County Councillors			
Con	Lib Dem	NY Ind	Labour	Liberal	UKIP	Ind	Total
5	1	1	1	0	0	0	

2. Substitute Members

Conservative		Liberal Democrat	
	<i>Councillors Names</i>		<i>Councillors Names</i>
1	HARRISON-TOPHAM, Roger	1	De COURCEY-BAYLEY, Margaret-Ann
2	SANDERSON, Janet	2	
3	METCALFE, Chris	3	
4		4	
5		5	
NY Independent		Labour	
	<i>Councillors Names</i>		<i>Councillors Names</i>
1	BLACKIE, John	1	SHAW-WRIGHT, Steve
2	JEFFERSON, Janet	2	
3		3	
4		4	
5		5	